

S/N 09/603,448

PATENTIN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	THOMAS ET AL.	Examiner:	J. FREDMAN
Serial No.:	09/603,448	Group Art Unit:	1655
Filed:	JUNE 26, 2000	Docket No.:	10552.26US01
Title:	CYTOTOXICITY TESTING		

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this Transmittal Letter and the paper, as described herein, are being sent via facsimile transmission to the addressed person at: ATTN: Examiner Jeff Fredman, Commissioner for Patents, Washington, D.C. 20231, fax number (703) 305-3014, on November 16, 2001.

By: 

Cheryl A. Boerboom

COMMUNICATION

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

Please include the enclosed Declaration under 37 C.F.R. § 1.131 and its appended laboratory notebook pages as support for the Amendment and Response mailed on October 25, 2001. The Amendment stated that the Declaration and its supporting documents would be provided in conjunction therewith. However, these documents were not included and are now provided. Consideration of the enclosed documentation in conjunction with the Amendment and Response is appreciated.

The Examiner is invited to contact applicant's undersigned representative at the telephone number listed below, if the Examiner believes that doing so will expedite prosecution of this patent application.

Respectfully submitted,

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Minneapolis, MN 55402-0903  
(612) 332-5300

Dated: Nov 16, 2001By: 

Mark T. Skoog  
Reg. No.: 40,178



23552

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**Merchant & Gould**

An Intellectual Property Law Firm

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**Fax Transmission** | November 16, 2001

TO: Commissioner for  
Patents  
Attn: Examiner Jeff Fredman  
Patent Examining Corps  
Facsimile Center  
Washington, D.C. 20231

FROM: Mark T. Skoog

OUR REF: 10552.26US01  
TELEPHONE: 612.371.5240

Total pages, including cover letter: 22 23PTO FAX NUMBER 1-703.305.3014

If you do NOT receive all of the pages, please telephone us at 612.371.5240, or fax us at 612.332.9081.

Title of Document Transmitted:

Communication and Declaration Under 37  
C.F.R. 1.131

Applicant: THOMAS ET AL.  
Serial No.: 09/603,448  
Filed: JUNE 26, 2000  
Group Art Unit: 1655  
Our Ref. No.: 10552.26US01

Please charge any additional fees or credit overpayment to Deposit Account No. 13-2725. Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers, if appropriate.

By:

Name: Mark T. SkoogReg. No.: 40,178

I hereby certify that this paper is being transmitted by facsimile to the U.S. Patent and Trademark Office on the date shown below.

November 16, 2001

Signature

GEN033.DOT

Date

November 16, 2001

S/N 09/603,448

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By: 

Sheryl A. Boerboorn

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DECLARATION UNDER 37 C.F.R. § 1.131

Commissioner for Patents  
Washington, D.C. 20231

Dear Sir:

I, Susan M. Thomas, declare and state the following:

1. I am the inventor of the subject matter of the patent application identified above. I carried on my inventive activity as a faculty member at Flinders University.
2. I understand that the Examiner has cited the *Justus et al. (Mutagenesis (1999) 14(4):351-6)* as prior art in prosecution of the application identified above. I understand that the *Justus et al.* reference was published in an issue of *Mutagenesis* dated July 1999.
3. I further understand that the original filing date of my present patent application Serial No. 09/603,448 is June 26, 2000.
4. I state that before the publication date of the *Justus et al.* reference, that is before July 1999, I invented the subject matter described and claimed in the patent application identified above. As evidence, please find accompanying this declaration a photocopy of a report from my laboratory documenting at least the conception of the claimed invention before July 1999. I then diligently proceeded with implementing the present invention and filing the present application.
5. I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true, and further that the statements are made with the knowledge that willful false statements and the like are

punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of this application or any patent issuing thereon.

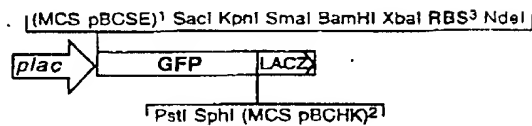
5 Oct 2001  
Date

Susan M. Thomas  
Susan M. Thomas

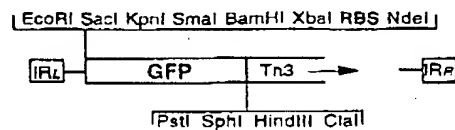
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7\*

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GROUP 1600

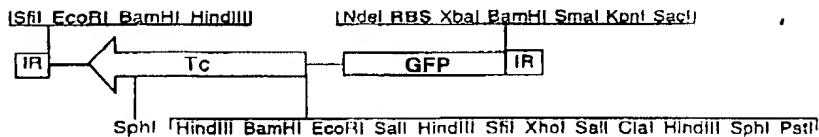
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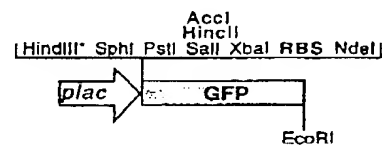
B



C



D



E

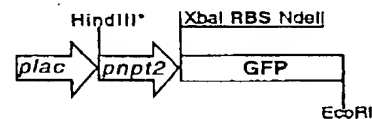


Fig. 1. Restriction enzyme maps of *gfp* constructs. (A) pBCgfp; multiple cloning site (MCS) from pBC SK+ from *SacI* to *EcoRI* inclusive. Multiple cloning site from pBC SK+ from *HindIII* to *KpnI* inclusive. Ribosome binding site (RBS). (B) Ta3gfp; the right end of pTa3gfp from the *ClaI* site to the right inverted repeat (IR) is unchanged, and includes the *bla* gene and a *SacI* site. (C) pUTmini-Ta3gfp; *hox* in pUTmini-Ta3gfp was replaced by *gfp*. (D) p519gfp, *gfp* replaced part of the polylinker downstream of *plac* in pDSK519; there is a second *HindIII* site in the vector. (E) p519gfp, *pnp2* was inserted between the *HindIII* and *XbaI* sites in front of *gfp* in p519gfp. Diagrams are not to scale and only show altered parts of the vectors.

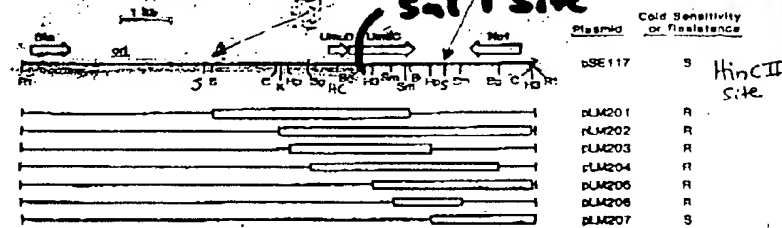


FIG. 1. Linear representation of p5117 and deletion derivatives. Deleted regions are represented by open boxes. Abbreviations for restriction sites are as follows: *EcoRI*, *E*; *BamHI*, *B*; *ClaI*, *C*; *KpnI*, *K*; *HpaI*, *H*; *SphI*, *S*; *HindIII*, *H*; *SmaI*, *S*; *XbaI*, *X*. Also shown are the origin of replication, *ori*; multiple antibiotic resistance gene (*kanamycin* resistance), *Kan*; and *p-lac* promoter gene (*ampicillin* resistance), *amp*. Deletions have the following endpoints: pLM201: *BamHI*-*BamHI*; pLM202: *ClaI*-*ClaI*; pLM203: *HpaI*-*HpaI*; pLM204: *SphI*-*SphI*; pLM205: *HindIII*-*HindIII*; pLM206: *SmaI*-*SmaI*; pLM207: *NdeI*-*EcoRI*. The ability of each plasmid to confer cold sensitivity on KM1190 was determined by constructing the plasmid-bearing KM1190 strains at 42°C. In each case cold-sensitive strains were <0.1% viable at 30°C, whereas KM1190 strains were >50% viable at 30°C. kb, kilobase.

Gfp - cloning into PSE117

90

A.G. Marthyse et al. / FEMS Microbiology Letters 145 (1996) 87-94

A

## CLONING VECTORS

## SPECIAL FEATURES

2.96-kb colony-producing phagemid  
High copy number ColE1-based phagemid  
Large and versatile polylinker in two orientations  
f1 origin also available in either orientation  
T3 and T7 promoters contains *lacZ*

## APPLICATIONS

High-resolution restriction mapping  
Creation of *exo/mung* nested deletions  
Single-stranded rescue  
Double- and single-stranded sequencing  
*In vitro* RNA transcription

## CLONING SITES

21 unique restriction sites in multiple cloning region

SELECTION Blue/white color selection

SCREENING By prokaryotic expression with antibodies or nucleic acid probes

## TRANSCRIPTION/EXPRESSION

*In vitro* RNA transcription with T3 or T7 RNA polymerase  
Expression of fusion proteins

## BLUE/WHITE COLOR SELECTION

Vectors containing a portion of the *lacZ* gene provide  $\alpha$ -complementation when used on cells containing *lacZ*Δ*M15* on the F'. When no insert is present, a functional  $\alpha$ -peptide is produced that complements the gene product of *lacZ*Δ*M15* to produce a functional  $\beta$ -galactosidase protein. When plated on indicator plates containing IPTG and X-gal, the colonies are blue. When a cloned insert interrupts the *lacZ*  $\alpha$ -peptide, no complementation occurs and colonies appear white.

## FUSION PROTEIN EXPRESSION

An inducible *lac* promoter upstream from a *lacZ* gene allows the production of fusion protein. Plasmid clones may then be screened with antibody probes.

## IN VITRO RNA TRANSCRIPTION/HIGH-RESOLUTION RESTRICTION MAPPING

Vectors containing T3 and T7 bacteriophage promoters allow efficient *in vitro* synthesis of strand-specific RNA. BssH II sites flanking the T3 and T7 promoters allow isolation of a cassette containing the insert and the two promoters. High-resolution restriction maps can then be generated with T3 and T7 primers using Stratagene's FLASH<sup>2</sup> nonradioactive gene mapping kit.

## EXONUCLEASE III/MUNG BEAN DELETIONS

The pBluescript<sup>2</sup> II vector's 21 unique restriction sites within the polylinker are specifically ordered to allow production of nested deletions using exonuclease III or mung bean nuclease.

## DNA RESCUE

Vectors containing the f1 origin of replication from the f1 filamentous phage allow rescue of single-stranded DNA upon co-infection with helper phage. The (+) and (-) orientations of the f1 intergenic region allow the rescue of either the insert or antigenic DNA strand. Single-stranded DNA can then be used for sequencing or site-directed mutagenesis.

## SEQUENCING

120-mer, T7 22-mer, M13 (-20), M13 reverse, SK 20-mer and KS 17-mer (see Appendix)

For order to the table on page 38 for a complete list of this vector's properties and the Appendix for the vector maps.

## PRODUCTS

0.5 µg pBluescript<sup>2</sup> II phagemid vector  
0.5 µg pBluescript<sup>2</sup> II SK<sup>+</sup> phagemid

## REFERENCES

1. Sambrook, J., Fritsch, E. F., & Maniatis, T. (1989) *Molecular Cloning: A Laboratory Manual*. Cold Spring Harbor, NY: Cold Spring Harbor Laboratory Press.

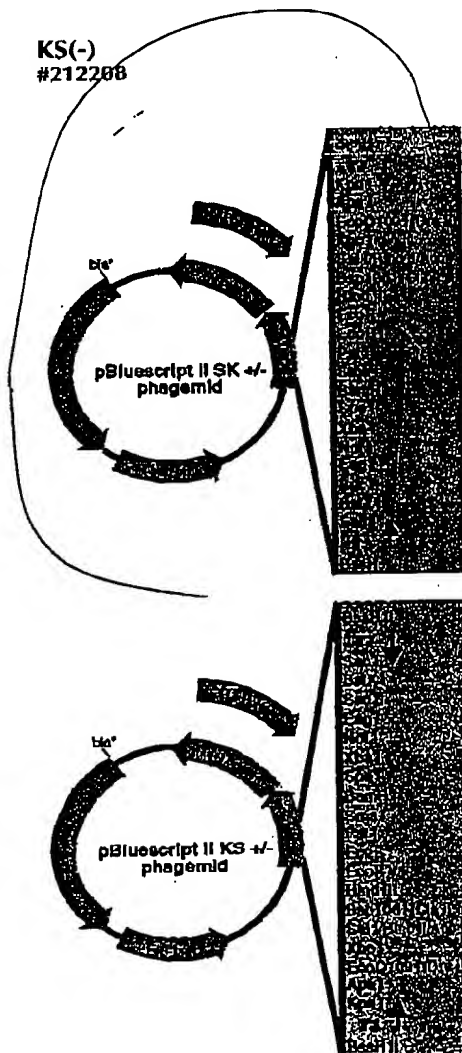
pBluescript<sup>2</sup> II  
Phagemid Kits

SK(+)  
#212205

SK(-)  
#212206

KS(+)  
#212207

KS(-)  
#212208



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# gfp - cloning into PSE117

Have decided to clone gfp into same site as this gene is not affected by metabolic conditions like hux is. The last few experiments - spent broth induction - temp. have given variable or no solid result due to possible interference with hux - this thus doesn't reflect true umu gene induction.

g INSERT have obtained a gfp PCR product from

I want to clone gfp with Hind3, EcoRI ends use D (this is the fragment has given me. However its ends are blunt so it needs to be cut with Hind3 and EcoRI at either end.

## Vector

Cut PSE117 Hind3 isolate from gel ~ 7kb lit cut end with EcoRI to give one end Hind3 other EcoRI then ligate gfp to this vector.

Run on a gel  
2ml of sample  
concentration.

Got 2 bands should have one ~ 700bp  
severe I have got the correct fragment.

TEST KUN PCK

Set up as follows:- $n = 2$ 

+ve control

-ve control

1  $\mu$ l DNA1  $\mu$ l  $H_2O$  (on bench)

1  $\mu$ l dNTPs 10mM  
 4  $\mu$ l Mg 25mM (final 2mM)  
 5  $\mu$ l x10 buffer  
 2.8  $\mu$ l primer gfp Hind3 (25  $\mu$ M) each  
 2.3  $\mu$ l primer gfp EcoRI (25  $\mu$ M) each  
 1  $\mu$ l Taq polymerase (1 Unit)  
 32.9  $\mu$ l  $H_2O$   


---

 50  $\mu$ l total volume.

the same  
as +ve  
control

- make bulk mix
- Split in 2 49  $\mu$ l  $\ominus$  + 49  $\mu$ l  $\oplus$
- and add mineral oil - run in thermocycler ~ 3 hours

94°C 30s

47°C 30s

72°C 2min

72°C 10min

28°C 5min

30 cycles  
1 cycle

low stringency due to using plasmid DNA template and specific primers. If using genomic DNA need higher specificity  $\therefore$  increase this temp.

Lane:- 1 1  $\mu$ l marker2 5  $\mu$ l + PCR reaction, 5  $H_2O$ 3 5  $\mu$ l - control 5  $H_2O$ 

looks o.k. ~ 700 bp fragment, Control - no DNA which is good.



PCR

2 tubes  
N=2

on bench

1 ul H<sub>2</sub>O

⊖

controls

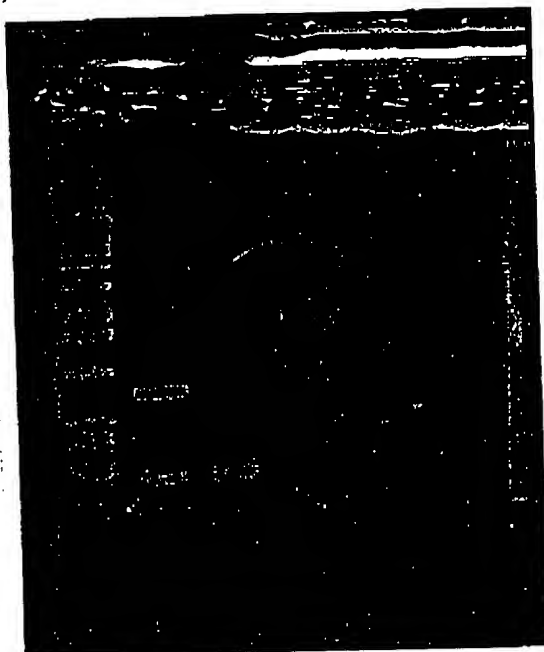
1 ul DNA

⊕

in  
cabinet

✓ 2	—	dNTP's	1 μl
✓ 8	—	Mg	4 μl
✓ 10	—	X10	5 μl
✓ 5.6	—	10 Hind	2.8 μl
✓ 4.6	—	10 Eco	2.3 μl
✓ 2	—	Ther. poly	1 μl
✓ 65.8	—	H <sub>2</sub> O	32.9

49 μl      49 μl → control  
↓  
+ control      with 2 μl



# Scaled up version

⊖  
⊕

Run 3x 100  $\mu$ l reactions. 1x Son  
Set up the same cycles + steps as for +

3 tubes.  
2  $\mu$ l each.  
(6  $\mu$ l total)  
Bulk mix 1 tube 1  $\mu$ l  
control H<sub>2</sub>O

7  $\mu$ l Dntps.  
28  $\mu$ l mg.  
35  $\mu$ l 10X.  
19.6  $\mu$ l Hind(P)  
16.1  $\mu$ l Eco(P)  
3.5  $\mu$ l Taq.  
2 33.8  $\mu$ l H<sub>2</sub>O

98  $\mu$ l bulk mix  
per tube.  
2 94  $\mu$ l  
(343  $\mu$ l total)  
bulk mix  
for 4 tubes

add 49  $\mu$ l  
bulk mix

Run gel :- lane 1 :- 1  $\mu$ l marker DNA.  
2 :- reaction 1 (3  $\mu$ l) S  
3 :- reaction 2 (3  $\mu$ l) S  
4 :- reaction 3 (3  $\mu$ l) S  
5 :- control reaction (3  $\mu$ l)

mini gel 1% 100 V

DNA looks good + control has worked  
- pool all 3 preps + run through column  
to remove primers.

POK

2<sup>min</sup> 94°C CYCLE 1

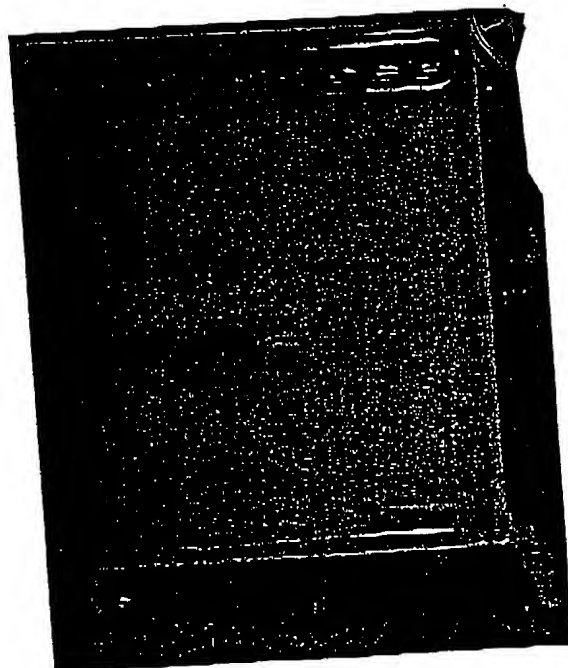
30<sup>sec</sup> { 94°C }<sup>steps</sup> 1 CYCLE 2  
 30<sup>sec</sup> { 47°C } 2 ↓  
 2<sup>min</sup> { 72°C } 3 31

10<sup>min</sup> 72°C 32 cycle<sup>3</sup>

0 25° 33 cycle<sup>4</sup>

Steps Ramp Rate  
 NC

Run- prog 1



pooled DNA → 300 μl without mineral oil  
 Extracted once with equal volume chloroform: 150 μl<sup>all</sup>  
 2x : 1  
 (looked milky)

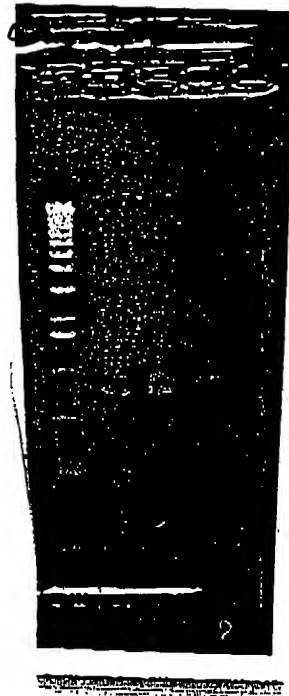
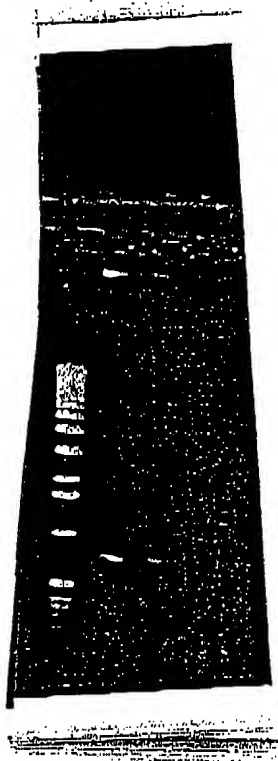
- Run supernatant through promega PCR clean  
 up prep column. as per manufacturer's  
 protocol except evaporate off ~~150 μl~~ 150 μl  
 isopropanol at 37°C for 15 min.

- elute DNA in 2x 50 μl TE

100 μl Total volume

Run 1 μl on gel to check.  
 of 100 μl total lane 2  
 Lane 3 Serinas gfp 2 μl.

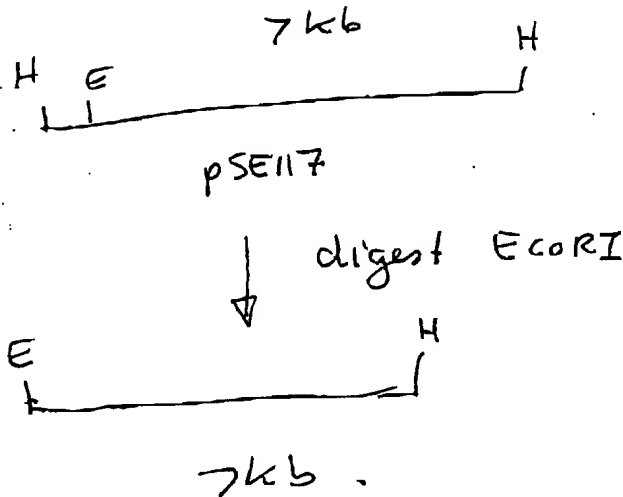
DNA looks ok. appears there  
 may also be a small band above?  
 not sure what this is it has occurred in  
 prep also but at a larger quantity.



# CLONING STRATEGY

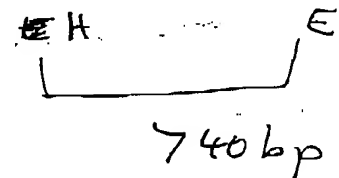
Digestion of vector + Insert see pgs 22

from plasmid  
using PBCgfp  
Hind3 + EcoRI primers



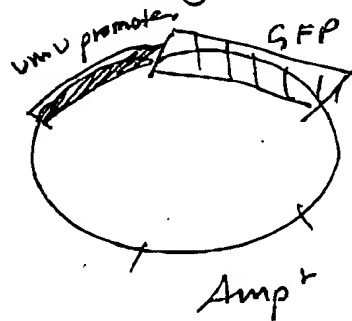
GFP PCR product

digest Hind3  
EcoRI



ligate + transform

into  
E. coli  
GW2100



new plasmid. construct using gfp.

no need for alkaline phosphatase treatment  
as vector cannot religate to itself. it  
has 2 different ends.  
Insert must go in in one orientation  
because 2 different ~~restriction~~ ends.

28

page 22

- Run 10 reps from digest through the Wizard clean up columns as per usual protocol. 50  $\mu$ l TE total

- checked on gel. lane 1: 1  $\mu$ l marker. 2: 5  $\mu$ l digest + 1  $\mu$ l <sup>700 bp</sup> <sup>GFP</sup> <sup>KK</sup> 3: 5  $\mu$ l digest + 2  $\mu$ l <sup>7 kb</sup> <sup>PSE17</sup>

don't know why more than one band column may break up? DNA?

go ahead + ligate anyway use 5  $\mu$ l of each looks about equal should be enough

### Ligations

1:1 Ratio

5  $\mu$ l vector ✓

5  $\mu$ l insert (GFP) ✓

~~1  $\mu$ l H<sub>2</sub>O?~~

1  $\mu$ l 10 mM rATP (Stratagene)

1  $\mu$ l 10 $\times$  ligase buffer ✓

1  $\mu$ l T4 DNA ligase

- 16°C o/n

### TRANSFORMATION

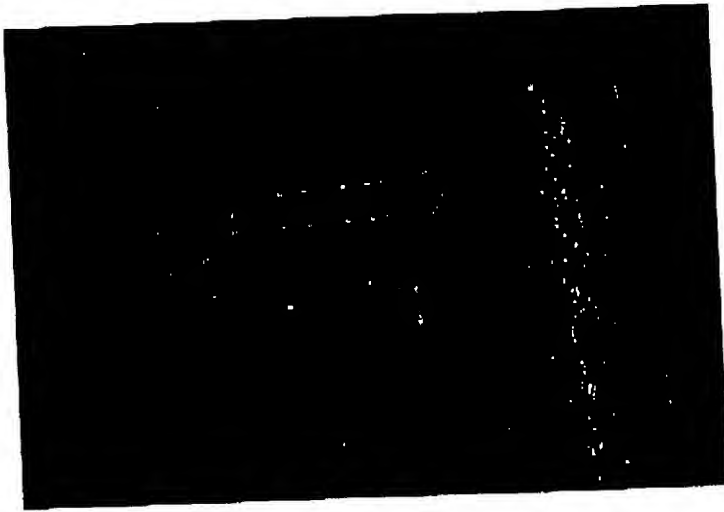
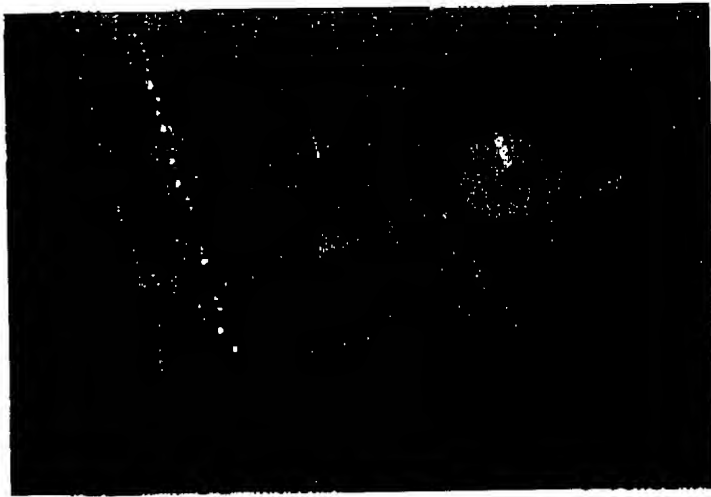
- Transformed E. coli GW2100 as per usual method<sup>1</sup> using N. broth. added all of ligated mix plated out into (Amp 50  $\mu$ g/ml) all of cells in 1 ml growth from flask. 37°C o/n

NOV-16-01

03:19PM

FROM-

T-534 P.016/022 F-548





RESULTS. GFP cloning

got about 6 colonies from the whole transformed mixture when plated out along with patches of growth  $\Rightarrow$  may be due to cells feeding on dead cells. 20 patches.  
I streaked out these colonies and the patches onto Amp agar.  $37^{\circ}\text{C}$  o/n

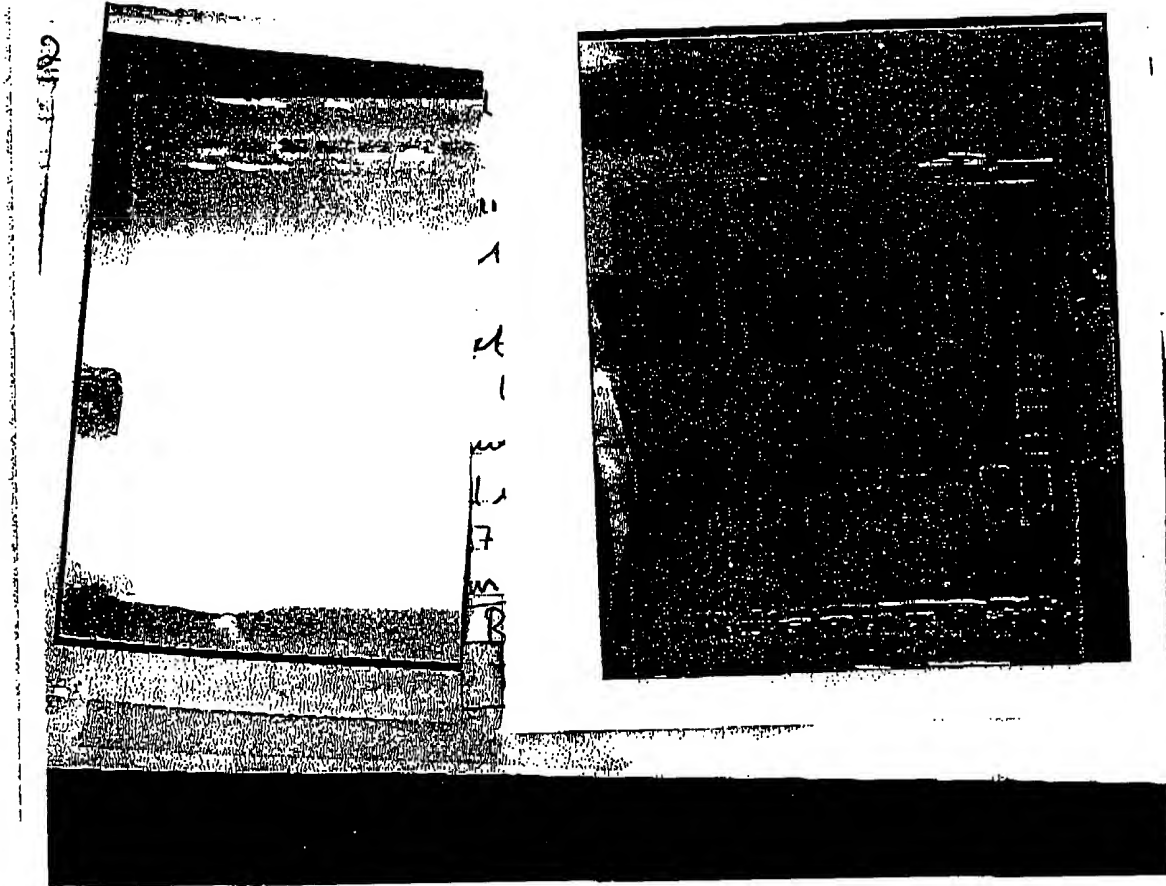
Colonies 1, 3, 9 and 12 have grown up when streaked. all the rest no growth.  
Hopefully these are o.k. it is possible that they may be incompletely digested vector which has re-ligated to itself! Need to do plasmid preps to check this out.

Set up 10 ml sample Amp N.B. cultures  
1, 3, 9, 12  $37^{\circ}\text{C}$  o/n

No. 1 didn't grow - all rest did.

did rapid miniplasmid prep as per usual. 500 TE

- 1:- 1  $\mu\text{l}$  marker
  - 2:- 5  $\mu\text{l}$  uncut No. 2, 5  $\mu\text{l}$   $\text{H}_2\text{O}$  ✓
  - 3:- 5  $\mu\text{l}$  2 cut 1  $\mu\text{l}$  EcoRI ✓ 1  $\mu\text{l}$  Hind3 ✓, 1  $\mu\text{l}$  Buffer B ✓
  - 4:- 5  $\mu\text{l}$  uncut No. 3, 5  $\mu\text{l}$   $\text{H}_2\text{O}$  ✓
  - 5:- 5  $\mu\text{l}$  3 cut 1  $\mu\text{l}$  EcoRI ✓ 1  $\mu\text{l}$  Hind3 ✓ 1  $\mu\text{l}$  Buffer B ✓
  - 6:- 5  $\mu\text{l}$  uncut No. 4, 5  $\mu\text{l}$   $\text{H}_2\text{O}$  ✓
  - 7:- 5  $\mu\text{l}$  4 cut 1  $\mu\text{l}$  EcoRI ✓ 1  $\mu\text{l}$  Hind3 ✓ 1  $\mu\text{l}$  Buffer B ✓
  - 8:- 4  $\mu\text{l}$  pSE117 7kb fragment EcoRI Hind3 digested. 22.3.97 6  $\mu\text{l}$   $\text{H}_2\text{O}$  ✓
  - 10 + 9:- Russels samples.
- 1  $\mu\text{l}$  BSA to each digest  
1  $\mu\text{l}$   $\text{H}_2\text{O}$  ✓



DISCUSSION

Results on gel pge 29 look good.  
 There is a ~ 700bp fragment in lane  
 3 and 5. No gfp 2 and gfp 3 both in  
 cloned gfp gene in pSE117.

gfp 2  
 obs. exp.  
 7kb 7kb  
 700bp 700bp

gfp 3  
 obs. exp.  
 7kb 7kb  
 700bp 700bp

gfp 4 Hinc  
ECORI  
 obs. exp.  
 7kb 7kb  
 700bp 700bp

gfp 4 - not sure about this one. uncut &  
 cut bands ~~don't~~ don't match with ex  
 fragments? need to re-check.

Now need to check if UV and mutagens in  
 gfp production. and compare against the  
 construct.

Did a plasmid prep of gfp1 to check if Gfp p1

digest 37°C for a few hours  
 1) (cut) 4µl DNA, 1µl Eco, 1µl Hind3, 1µl Buffer, 1µl H<sub>2</sub>O  
 2) (uncut) 4µl DNA, 5µl H<sub>2</sub>O

GFP

model

FLOWMETER DETECTION

Set up of 37°C cultures ~~80~~ gfp 1 → 4  
50 mg/ml Amp

cultures 1, 2, + 4 grew but not 3?

- Spin down culture gfp 1 as this looked good on gel
- diluted + resuspended in phosphate buffer 20mls.
- Test UV irradiated 3mls 20Sec <sup>2nd time</sup>
- NO UV ~~is~~ 3mls
- 1mls → Fluorimeter cuvette of each
- blank machine using OVR dose tube to zero
- then get a reading of induced culture.
- Tested No. 2 because from gel this one has insert. No. 1 didn't know yet. No. 4 didn't have insert.

No 2 ResultsDISCUSSIONTime

10min	-		- need to use log-phase
30min	-	190	cells as signal seen seems
1 hour	-	200	to be very low. Couldn't
2 hour	-	170	really see much under
			microscope either Recto
			using log phase cells.

MACHINE STUFFED

NEED TO REDO

- need to test all clones

- only has the following filters  
440nm  
665nm  
530nm

32

- also spotted culture onto microscope slide and sealed coverslip with nail polish
- check using
- can see faint cells at 15 min need longer check 30 min - 45 min later

Tested gfp 2 only.

- see discussion page 31

Set up o/n culture gfp 2 + 3 (these ones look good gel page 29)

UV reading - 0.10 - 15 Seconds UV

Tested ~~No. 2~~, No response even after 4-5  
checked ~~No. 3~~ after this time and it was  
fluorescing on <sup>micro</sup> slide

Need to Retest No. 3, 4 and 1 the same way.

Took some photos on Camera  
photos turned out o.k. See photo Album.  
Need to check all as not all appear to  
do this even though they seem to have  
appropriate gene insert.

NOV-16-01

03:20PM

FROM-

T-534 P.022/022 F-548

